UTILITY PATENT APPLICATION TRANSMITTAL *(Only for new nonprovisional applications under 37 CFR 1.53(b))*	Attorney Docket No.	005212/TCG/SPC/SB
	First Inventor or Application Identifier	SHREYAS KHER
	Title	CVD BST FILM COMPOSITION AND PROPERTY CONTROL WITH THICKNESS BELOW 200 Å FOR DRAM CAPACITOR APPLICATION WITH SIZE AT 0.1 μm OR BELOW

APPLICATION ELEMENTS *See MPEP chapter 600 concerning utility patent application contents*	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

1. ■ Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)

   ☐ Applicant claims small entity status.

2. ■ Specification   Total Pages   **14**

3. ■ Drawing(s) *(35 U.S.C. 113)* Total Sheets   **4**

4. ■ Oath or Declaration   Total Pages   **4**

   a. ■ Newly executed (original or copy)

   b. ☐ Copy from a prior application *(37 C.F.R. §1.63(d))* *(for continuation/divisional with box 15 completed)*

5. ☐ Incorporation By Reference *(usable if box 4B is checked)* The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING DOCUMENTS

6. ■ Assignment Papers (cover sheet & document(s))

7. ☐ 37 C.F.R. §3.73(b) Statement   ☐ Power of *(when there is an assignee)*   Attorney

8. ☐ English Translation Document *(if applicable)*

9. ■ Information Disclosure   ■ Copies of IDS Statement (IDS)/PTO-1449   Citations

10. ☐ Preliminary Amendment

11. ■ Return Receipt Postcard

12. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

13. ☐ Request for Priority

14. ☐ Other:

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15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

   ☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application no.:

   Prior application information: Examiner: Group Art Unit:

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16. Amend the specification by inserting before the first line the sentence:

   ☐ This application is a   ☐ Continuation   ☐ Division   ☐ Continuation-in-part (CIP)

   of application Serial No.   Filed on

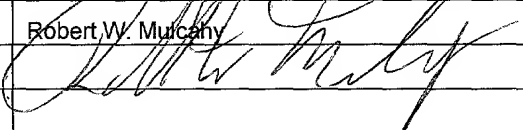   ☐ This application claims priority of provisional application Serial No.   Filed

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17. Send correspondence to:

Patent Counsel
Applied Materials, Inc.
Parent Department M/S 2061
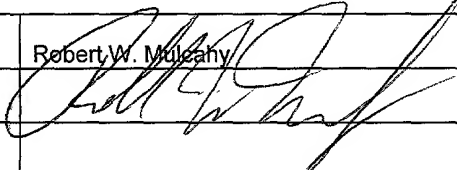P.O. Box 450 A
Santa Clara, CA 95052

Direct telephone calls to:
Steven B. Kelber (30,073)
Piper Marbury Rudnick & Wolfe LLP
(202) 861-3900

Name	Robert W. Mulcahy		Registration No.	25,436	
Signature		Date	7-10-01	Telephone	
Name			Registration No.		

EL661804263US

FEE TRANSMITTAL

Docket No.	005212/TCG/SPC/SB
Serial No.	NEW PATENT APPLICATION
Filing Date	HEREWITH
Inventor(s)	SHREYAS KHER, ET AL.
Group Art Unit	UNASSIGNED
Examiner	UNASSIGNED

TOTAL AMOUNT OF PAYMENT	$750.00

1. ☐ Applicant claims small entity status.

 ■ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1074.

 ■ Charge the indicated fees to Deposit Account No. 50-1074.

2. ☐ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee ($)	Fee Code	Fee ($)		
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
				SUBTOTAL (1)	$710.00

2. EXTRA CLAIM FEES

total claims	12	−	20*	=	0	x	$18	=	0
ind claims	3	−	3*	=	0	x	$80	=	0
☐ Multiple Dependent Claims					$270	=			

Large Entity		Small Entity		Fee Description	
Fee Code	Fee ($)	Fee Code	Fee ($)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	*Reissue independent claims over original patent	
110	18	210	9	*Reissue claims in excess of 20 and over original patent	
				SUBTOTAL (2)	$0.00

* or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee ($)	Fee Code	Fee ($)		
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	Ex parte reexam fee	
115	110	215	55	1-mo ext of time	
116	390	216	195	2-mo ext of time	
117	890	217	445	3-mo ext of time	
118	1390	218	695	4-mo ext of time	
128	1890	228	945	5-mo ext of time	
119	310	219	155	Notice of Appeal	
120	310	220	155	Appeal Brief	
121	270	221	135	Request for Oral Hearing	
142	1240	242	620	Utility/Reissue Issue Fee	
143	440	243	220	Design Issue Fee	
144	600	244	300	Plant Issue Fee	
122	130	122	130	Petitions to the Commissioner	
126	180	126	180	IDS Submission	
581	40	581	40	Assignment recordation	40.00
179	710	279	355	For Filing RCE	
169	900	169	900	Expedited Design	
OTHER (indicate below)					
				SUBTOTAL (3)	$40.00

Name	Robert W. Mulcahy	Registration No.	25,436
Signature	*(signature)*	Date 7-10-01	Telephone 202-861-3900
Name		Registration No.	